

## Existence of semisimple representations

Last time:

- every standard cyclic module of weight  $\lambda$  has a unique irreducible quotient  $V(\lambda)$
- two irreducible standard cyclic modules of weight  $\lambda$  are isomorphic

This time:

- for every  $\lambda$  there exists a standard cyclic module of weight  $\lambda$
- when is the irreducible quotient  $V(\lambda)$  finite-dimensional ?

Setup As usual:

$K$  algebraically closed, char. 0 field

$\mathfrak{g}$  finite-dimensional semisimple Lie algebra over  $K$

$\mathfrak{h} \subset \mathfrak{g}$  Cartan subalgebra

$R$  root system of  $\mathfrak{h} \subset \mathfrak{g}$

$\Delta$  a base of  $R$ .

Fix a  $\lambda \in \mathbb{H}^*$ . We want to construct a standard cyclic module of weight  $\lambda$ , that we will denote  $Z(\lambda)$ .

For every positive root  $\alpha > 0$ , choose  $X_\alpha \in \mathfrak{g}_\alpha$ ,  $Y_\alpha \in \mathfrak{g}_{-\alpha}$  such that  $(X_\alpha, H_\alpha, Y_\alpha)$  is an  $SL_2$ -triple.

Now consider  $U(\mathfrak{g})$ , the universal enveloping algebra, and let  $I(\lambda)$  be the ideal generated by

$$\{X_\alpha \mid \alpha \in R^+\} \cup \{H_\alpha - \lambda(H_\alpha) \cdot 1 \mid \alpha \in R\}$$

Now define  $Z(\lambda)$  to be  $U(\mathfrak{g})/I(\lambda)$ .

$Z(\lambda)$  is a module over  $U(\mathfrak{g})$  and hence  
a representation of  $\mathfrak{g}$  via the natural map  $\mathfrak{g} \rightarrow U(\mathfrak{g})$ .

Let  $v^+$  be the image of 1 in the quotient  $Z(\lambda)$ .

By construction:  $X_\alpha(v^+) = 0$  for all  $\alpha \in R^+$

$$H_\alpha(v^+) = \lambda(H_\alpha) \cdot v^+ \quad \text{for all } \alpha \in R$$

Hence we conclude:

Theorem  $Z(\lambda)$  is a standard cyclic module of weight  $\lambda$  ■

Definition The Verma module of weight  $\lambda$ , denoted  $V(\lambda)$ ,  
is the unique irreducible quotient of  $Z(\lambda)$ .

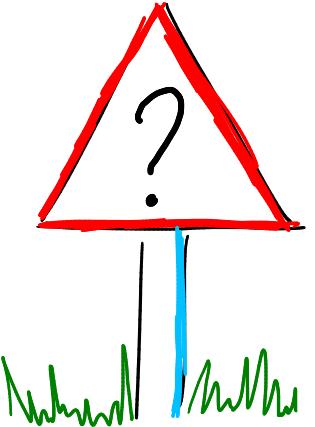
A corollary from what we have seen before :

Every irreducible standard cyclic module of weight  $\lambda$   
is isomorphic to  $V(\lambda)$ .

Let  $V$  be a finite-dimensional irreducible representation of  $\mathfrak{g}$ .

- then it must have a maximal vector  $v^+$  of some weight  $\lambda$  (by finiteness)
- $v^+$  generates a submodule of  $V$ , which must be all of  $V$  itself (by irreducibility)

We conclude that  $V$  is isomorphic to  $V(\lambda)$ .



The question remains:

For which  $\lambda \in \mathbb{I}^*$  is  $V(\lambda)$  finite-dimensional ?

Here we will connect to the weight theory of the root system  $R$  that we looked at last week.

## Necessary conditions

Suppose that  $V = V(\lambda)$  is finite-dimensional.

Let  $S_\alpha = (X_\alpha, H_\alpha, Y_\alpha)$  be an  $\mathfrak{sl}_2$ -triple for each  $\alpha \in \Delta$ .

Then  $V$  is a finite-dimensional representation of  $S_\alpha$ .

Since  $\lambda$  is a **highest weight** of  $V$  as representation of  $\mathfrak{g}$ ,  
we can consider  $\lambda|_{\langle H_\alpha \rangle} \in \langle H_\alpha \rangle^*$ , and notice that it is  
the highest weight of  $V$  as representation of  $S_\alpha \cong \mathfrak{sl}_2$ .

But  $\dim \langle H_\alpha \rangle^* = 1$ , and we identified weights of  $SL_2$  with their image on  $H = H_\alpha$ .

So in this case, the highest weight of  $V$  as representation of  $S_\alpha$  is  $\lambda(H_\alpha)$ .

In particular we know that  $\lambda(H_\alpha)$  is a non-negative integer, by our understanding of the representation theory of  $SL_2$ .

More generally, if  $V$  is any finite-dimensional representation of  $\mathfrak{g}$  and  $\mu$  a weight of  $\mathfrak{g}$ , then  $\mu(H_\alpha) = \langle \mu, \alpha \rangle \in \mathbb{Z}$  for  $\alpha \in \Delta$ . Hence weights of  $V$  are weights of the root system  $R$ .

We found a necessary condition for  $\dim V(\lambda) < \infty$

$\lambda$  must be a dominant weight of the root system  $R$

Is this condition also sufficient? (Hint: yes)

For a representation  $V$ , let  $P(V)$  denote its set of weights.

For  $V = V(\lambda)$  we write  $P(\lambda) = P(V)$ .

Theorem If  $\lambda \in \mathfrak{h}^*$  is a dominant weight of  $R$ , then  $V(\lambda)$  is finite-dimensional, and  $P(\lambda)$  is a saturated set of weights.

For  $\sigma \in \Sigma$  and  $\mu \in P(\lambda)$  we have  $\dim V(\lambda)_\mu = \dim V(\lambda)_{\sigma(\mu)}$ .

Corollary The map  $\lambda \mapsto V(\lambda)$  gives a 1-to-1 correspondence between the set  $\Lambda^+$  of dominant weights and isomorphism classes of semisimple finite-dimensional representations of  $\mathfrak{g}$ .

We will prove the theorem in several steps, after proving a helper lemma.

Helper lemma (Compare with what we did for  $\mathfrak{sl}_3$ )

In  $U(\mathfrak{g})$  we have

$$(i) [X_j, Y_i^{k+1}] = 0 \quad \text{for } i \neq j$$

$$(ii) [H_j, Y_i^{k+1}] = -(k+1) \alpha_i(H_j) Y_i^{k+1}$$

$$(iii) [X_i, Y_i^{k+1}] = -(k+1) Y_i^k (k \cdot 1 - H_i)$$

Proof (i) Use that  $\alpha_j - \alpha_i$  (more precisely  $\alpha_j - (k+1)\alpha_i$ ) is not a root.

(ii) By induction on  $k$ .  $k=0$  is clear. Now we repeat the fundamental calculation

$$[H_j, Y_i^{k+1}] = H_j Y_i^{k+1} + Y_i^{k+1} H_j = (H_j Y_i^k - Y_i^k H_j) Y_i + Y_i^k [H_j, Y_i]$$

$$= -k \alpha_i(H_j) Y_i^k Y_i + Y_i^k \alpha_i(H_j) Y_i = -(k+1) \alpha_i(H_j) Y_i^{k+1}$$

(iii) Calculate using induction on  $k$

$$\begin{aligned}
 [x_i, y_i^{k+1}] &= X_i y_i^{k+1} - y_i^{k+1} X_i = [X_i, y_i] y_i^k + y_i [x_i, y_i^k] \\
 &= H_i y_i^k + y_i (-k y_i^{k-1} ((k-1) \cdot 1 - H_i)) \\
 &= H_i y_i^k - k(k-1) y_i^k + (k+1) y_i^k H_i - y_i^k H_i \\
 &= [H_i, y_i^k] - k(k-1) y_i^k + (k+1) y_i^k H_i \\
 &\stackrel{(ii)}{=} -k \alpha_i(H_i) y_i^k - k(k-1) y_i^k + (k+1) y_i^k H_i \\
 &= -k \cdot 2 \cdot y_i^k - k(k-1) y_i^k + (k+1) y_i^k H_i \\
 &= -k(k+1) y_i^k + (k+1) y_i^k H_i \\
 &= -(k+1) y_i^k (k - H_i)
 \end{aligned}$$



## Proof of the theorem

As before, let  $S_\alpha = (X_\alpha, H_\alpha, Y_\alpha)$  be an  $SL_2$ -triple for  $\alpha \in \Delta$ .

Fix a maximal vector  $v^+$  of weight  $\lambda$ , and write  $m_\alpha = \lambda(H_\alpha) \in \mathbb{Z}_{\geq 0}$  for  $\alpha \in \Delta$ .

Step 1  $Y_\alpha^{m_\alpha+1} v^+ = 0$ . Write  $w = Y_\alpha^{m_\alpha+1} v^+$ . If  $\beta \neq \alpha$  then  $X_\beta w = 0$

by (i) of the lemma. By (ii) and (iii) of the lemma,

$$\begin{aligned} X_\alpha w &= X_\alpha Y_\alpha^{m_\alpha+1} v^+ = [X_\alpha, Y_\alpha^{m_\alpha+1}] v^+ + Y_\alpha^{m_\alpha+1} X_\alpha v^+ \\ &= Y_\alpha^{m_\alpha+1} X_\alpha v^+ - (m_\alpha+1) Y_\alpha^{m_\alpha} \cdot (m_\alpha v^+ - H_\alpha v^+) \\ &= 0 - (m_\alpha+1) Y_\alpha^{m_\alpha} \cdot (m_\alpha v^+ - \lambda(H_\alpha) v^+) \stackrel{\substack{\text{if } m_\alpha \\ \text{is even}}}{=} 0 \end{aligned}$$

If  $w \neq 0$ , this shows that it is a maximal vector of weight  $\lambda - (m_\alpha+1)\alpha$ .

But  $V(\lambda)$  is irreducible, and hence the maximal weight is unique. Hence  $w = 0$ .

Step 2 For  $\alpha \in \Delta$ , there is a finite-dimensional  $S_\alpha$ -subrepresentation of  $V$ .

Take the  $S_\alpha$ -subrepresentation generated by  $v^+$ .

It is the linear span of  $v^+, y_\alpha v^+, \dots, y_\alpha^{[i]} v^+$ .

By step 1 this is finite-dimensional.

Step 3  $V$  is the sum of finite-dimensional  $S_i$ -submodules.

Let  $V'$  be the sum of all such submodules. By step 2,  $V' \neq 0$ .

If  $W$  is a finite-dimensional  $S_i$ -submodule, then the span of all  $x_\alpha W$  ( $\alpha \in R$ ) is finite-dimensional and  $S_i$ -stable. Hence  $V'$  is  $\square$ -stable.

By irreducibility of  $V = V(\lambda)$ , we conclude  $V' = V$ .

Step 4 For all  $v \in V$ ,  $X_\alpha$  and  $Y_\alpha$  act nilpotently on  $v$ .

Indeed,  $v$  lies in a finite sum of  $\text{findim } S_\alpha$ -submodules, by step 3, hence in a  $\text{findim } S_\alpha$ -submodule. On that submodule,  $X_\alpha$  and  $Y_\alpha$  act nilpotently by the representation theory of  $\text{findim } \text{SL}_2$ -representations.

Step 5 Form the automorphism  $s_\alpha(v) = \exp(X_\alpha) \exp(-Y_\alpha) \exp(X_\alpha)(v)$

Here  $\exp(\phi) = \sum_{n>0} \frac{1}{n!} \phi^n$ . Note that  $s_\alpha(v)$  is well-defined because of step 4.

Step 6 If  $\mu$  is any weight of  $V$ , then  $s_\alpha(V_\mu) = V_{\alpha\mu}$ .

We omit this calculation from the proof.

See the calculation that we did for  $\text{SL}_3$  for the strategy.

The upshot is that  $P(\lambda)$  is stable under the action of  $\mathcal{W}$ .

Step 7  $P(\lambda)$  is  $\mathcal{W}$ -stable, and  $\dim V_\mu = \dim V_{\sigma\mu}$  for  $\mu \in P(\lambda)$ ,  $\sigma \in \mathcal{W}$ .

Immediate from step 6.

Step 8  $P(\lambda)$  is finite.

Every weight in  $\mathcal{W}$ -conjugate to a dominant weight.

Now use step 7 and the fact that  $P(\lambda)$  only contains dominant weights  $\mu$  with  $\mu \leq \lambda$  of which there are finitely many.

Step 9  $V$  is finite-dimensional

In the previous lecture we saw that  $V_\mu$  is finite-dimensional.

Now use step 8.

Step 10  $P(\lambda)$  is saturated.

The space  $\bigoplus_{i \in \mathbb{Z}} V_{\mu+i\alpha}$  is an  $S_\alpha$ -subrepresentation.

Hence the  $\alpha$ -string through  $\mu$  must be uninterrupted.

We also know that  $\alpha_\alpha$  reverses the string.

If the string is  $\mu-r\alpha, \dots, \mu, \dots, \mu+q\alpha$ , then we have seen that  $r-q = \langle \mu, \alpha \rangle$ .

Hence  $P(\lambda)$  is saturated. ■

This finishes the classification of finite-dimensional representations of  $\square$ .

Next time: Can we calculate  $\dim V_\mu$ ?