

## Engel's theorem

Let  $V$  be a representation of a finite-dimensional

Lie algebra  $\mathfrak{g}$ . Assume that all elements of  $\mathfrak{g}$

act as nilpotent endomorphisms of  $V$ .

If  $V \neq 0$ , then there exists a  $v \in V$ ,  $v \neq 0$

such that  $X(v) = 0$  for all  $X \in \mathfrak{g}$ .

## Lemma

Let  $X$  be a nilpotent endomorphism of  $V$ .

Then  $\text{ad}(X) : \mathfrak{gl}(V) \rightarrow \mathfrak{gl}(V)$

$$Y \mapsto [X, Y]$$

is nilpotent.

Proof Observe that  $\text{ad}(X)^m$  is a sum of terms

of the form  $\pm X^i Y X^j$ ,  $i+j=m$

Suppose that  $X^k = 0$ .

Then  $\text{ad}(X)^{2k-1} = 0$ .  $\square$

## Proof of the theorem

By induction on  $n = \dim \mathfrak{g}$ .

The cases  $n=0$  and  $n=1$  are trivial. Assume  $n \geq 2$ .

Assume the statement for all  $m < n$ .

It suffices to construct an ideal  $\mathfrak{h}$  of dimension  $n-1$ .  
(Claim 1)

Pick  $\gamma \in \mathfrak{g}$ ,  $\gamma \notin \mathfrak{h}$ . Then

$$W = \left\{ w \in V \mid X(w) = 0 \text{ for all } X \in \mathfrak{h} \right\}$$

is stable under  $\gamma$ . By induction  $W \neq 0$ .  
(Claim 2)

(contd)

Pick  $Y \in \mathfrak{g}$ ,  $Y \notin \mathfrak{h}$ . Then

$W = \{w \in V \mid X(w) = 0 \text{ for all } X \in \mathfrak{h}\}$   
is stable under  $Y$ . By induction  $W \neq 0$ .

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Recall that  $Y$  is nilpotent.

Hence there is  $w \neq 0$  in  $W$  such that  $Y(w) = 0$ .

By assumption  $X(w) = 0$  for all  $X \in \mathfrak{h}$ .

Recall  $\dim \mathfrak{h} = n-1$  and  $Y \notin \mathfrak{h}$ .

So  $X(w) = 0$  for all  $X \in \mathfrak{g}$ .

Now prove the claims.

## Claim 2

(we will treat claim 1 next)

The space

$$W = \{ w \in V \mid X(w) = 0 \text{ for all } X \in \mathfrak{h} \}$$

is stable under  $Y$

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Pick any  $w \in W$ .

Need to show  $X(Y(w)) = 0$ , for all  $X \in \mathfrak{h}$ .

Recall:  $\underbrace{[X, Y]}_{\in \mathfrak{h}}(w) = X(Y(w)) - \underbrace{Y(X(w))}_{=0}$

$$= 0$$

Hence

$$X(Y(w)) = 0$$

□

Claim 1 Construct an ideal  $\mathfrak{h}$  of dimension  $n-1$ .

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Let  $\mathfrak{h} \subset \mathfrak{g}$  be any subalgebra with  $0 \neq \mathfrak{h} \neq \mathfrak{g}$ .

For example  $\langle X \rangle$ , with  $X \neq 0$ , for any  $X \in \mathfrak{g}$ .

Consider  $\mathfrak{g}/\mathfrak{h}$  as representation of  $\mathfrak{h}$ .

By induction there is a nonzero element of  $\mathfrak{g}/\mathfrak{h}$

killed by all  $X \in \mathfrak{h}$ .

In other words: There is a  $Y \in \mathfrak{g}$ ,  $Y \notin \mathfrak{h}$  such that

$[X, Y] \in \mathfrak{h}$  for all  $X \in \mathfrak{h}$ .

(contd)

In other words: There is a  $Y \in \mathfrak{g}$ ,  $Y \notin \mathfrak{h}$  such that  
 $[X, Y] \in \mathfrak{h}$  for all  $X \in \mathfrak{h}$ .

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But this means that the subspace generated by

$\mathfrak{h}$  and  $Y$  is a subalgebra  $\mathfrak{h}'$  of  $\mathfrak{g}$

and  $\mathfrak{h}$  is an ideal of  $\mathfrak{h}'$ .

Note that  $\dim \mathfrak{h}' = \dim \mathfrak{h} + 1$ .

By induction, we find an ideal of  $\mathfrak{g}$

of dimension  $n-1$ .

□