

Cartan's criterion Let  $\mathfrak{g}$  be a Lie algebra.

(i)  $\mathfrak{g}$  is solvable if and only if  $B(\mathfrak{g}, \mathcal{D}\mathfrak{g}) = 0$ .

(ii) If  $\mathfrak{g}$  is a subalgebra of  $gl(V)$  and  $B_V(X, Y) = 0$

for all  $X \in \mathcal{D}\mathfrak{g}$ ,  $Y \in \mathfrak{g}$ , then  $\mathfrak{g}$  is solvable.

(iii)  $\mathfrak{g}$  is semisimple if and only if its Killing form  $B$  is nondegenerate.

## Recap: Jordan - Chevalley decomposition

Let  $X$  be an endomorphism of a vector space  $V$  / perfect field

Then there exists a decomposition  $X = X_s + X_n$  with

$X_s$  semisimple  
( $=$  diagonalizable)

$X_n$  nilpotent

$X_s$  and  $X_n$  commute

Such a decomposition is unique, and  $X_s$  and  $X_n$  are polynomial expressions in  $X$  without constant coefficients.

Lemma Let  $X$  be an endomorphism of  $V$ , with JC-decomposition

$$X = X_s + X_n$$

Consider  $\text{ad}(X)$ , an endomorphism of  $\mathfrak{gl}(V)$ .

Then  $\text{ad}(X)_s = \text{ad}(X_s)$  and  $\text{ad}(X)_n = \text{ad}(X_n)$ .

Proof Clearly  $\text{ad}(X) = \text{ad}(X_s) + \text{ad}(X_n)$ ,

and  $\text{ad}(X_n)$  is nilpotent. Also  $[\text{ad}(X_s), \text{ad}(X_n)] = 0$

Choose a basis  $v_1, \dots, v_k$  of  $V$  on which  $X_s$  is diagonal.

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In other words:  $X_s(v_i) = \lambda_i \cdot v_i$ , for eigenvalues  $\lambda_i$ .

This basis allows us to identify  $GL(V) = \text{Mat}_{k \times k}(K)$ .

On the standard basis  $(E_{ij})_{ij}$  of  $\text{Mat}_{k \times k}(K)$

we can compute that  $\text{ad}(X_s)(E_{ij}) = (\lambda_i - \lambda_j) \cdot E_{ij}$ .

Hence  $\text{ad}(X_s)$  is diagonal.

□

Lemma Suppose that  $A$  and  $B$  are two subspaces of  $\mathfrak{gl}(V)$ ,

and  $B \subset A$ . Let  $T$  be the subspace to  $t \in \mathfrak{gl}(V)$

for which  $[t, A] \subset B$ . If  $z \in T$ , and  $T_r(z \cdot u) = 0$

for all  $u \in T$ , then  $z$  is nilpotent.

Proof Let  $z = s + n$  be the JC-decomposition, and let

$v_1, \dots, v_k$  be a basis of  $V$  on which  $s$  is diagonal.

Let  $\lambda_i \in K$  be the corresponding eigenvalues, so that  $s(v_i) = \lambda_i \cdot v_i$ .

Let  $\lambda_i$  be the corresponding eigenvalue, so that  $s(v_i) = \lambda_i$ .

We want to show  $\lambda_i = 0$ , so that  $z = n$  is nilpotent.

Consider the  $\mathbb{Q}$ -sub-vector space  $\Lambda \subset K$  generated by the  $\lambda_i$ .

Let  $f: \Lambda \rightarrow \mathbb{Q}$  be a  $\mathbb{Q}$ -linear function. We will show  $f=0$ .

Define  $t \in \mathfrak{gl}(V)$  via  $t(v_i) = f(\lambda_i)v_i$ .

On the standard basis  $(E_{ij})_{ij}$  of  $\mathfrak{gl}(V)$  induced by  $(v_i)_i$ :

$$\text{ad}(s) E_{ij} = (\lambda_i - \lambda_j) E_{ij}$$

$$\text{ad}(t) E_{ij} = (f(\lambda_i) - f(\lambda_j)) E_{ij}$$

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$$\text{ad}(t) E_{ij} = (f(\lambda_i) - f(\lambda_j)) E_{ij}$$

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By Lagrange interpolation, there is a polynomial  $P \in K[x]$

such that  $P(\lambda_i - \lambda_j) = f(\lambda_i) - f(\lambda_j)$ .

Well-defined, because  $\lambda_i - \lambda_j = \lambda_l - \lambda_m \Rightarrow f(\lambda_i) - f(\lambda_j) = f(\lambda_l) - f(\lambda_m)$

Note that the constant coefficient of  $P$  is 0:

$$P(0) = P(\lambda_i - \lambda_i) = f(\lambda_i) - f(\lambda_i) = 0$$

$$\text{ad}(s) E_{ij} = (\lambda_i - \lambda_j) E_{ij}$$

$$\text{ad}(t) E_{ij} = (f(\lambda_i) - f(\lambda_j)) E_{ij}$$

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$$P(\lambda_i - \lambda_j) = f(\lambda_i) - f(\lambda_j)$$

By construction:  $\text{ad}(t) = P(\text{ad}(s))$

From the first lemma:  $\text{ad}(s) = \text{ad}(z)$ , is a polynomial

expression in  $\text{ad}(z)$  without constant coefficient.

By assumption  $(\text{ad } z)(A) \subset B$ , and hence

$$(\text{ad } t)(A) \subset B,$$

so  $t \in T$ .

Recall the hypothesis on  $z$  and the goal from the lemma:

If  $z \in T$ , and  $\text{Tr}(z \cdot u) = 0$  for all  $u \in T$ ,  
then  $z$  is nilpotent.

We just showed  $t \in T$ , and therefore

$$0 = \text{Tr}(zt) = \sum \lambda_i f(\lambda_i).$$

Apply  $f$  to both sides:

$$0 = f(0) = f\left(\sum \lambda_i f(\lambda_i)\right) = \sum f(\lambda_i)^2.$$

But  $f(\lambda_i) \in \mathbb{Q}$ , hence  $f(\lambda_i) = 0$  and therefore  $\lambda_i = 0$ .  $\square$

## Proof of Cartan's criterion

|| If  $\mathfrak{g}$  is a subalgebra of  $\mathfrak{gl}(V)$  and  $B_V(X, Y) = 0$   
|| for all  $X \in \mathcal{D}_{\mathfrak{g}}$ ,  $Y \in \mathfrak{g}$ , then  $\mathfrak{g}$  is solvable.

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Let  $T$  be the set of  $t \in \mathfrak{gl}(V)$  for which  $[t, \mathfrak{g}] \subset \mathcal{D}_{\mathfrak{g}}$ .

For  $t \in T$ ,  $X, Y \in \mathfrak{g}$ , we have  $[t, X] \in \mathcal{D}_{\mathfrak{g}}$ , hence

$$T_V(t \circ [X, Y]) = B_V(t, [X, Y]) = B_V([t, X], Y) = 0$$

By linearity  $T_V(t \circ u) = 0$  for all  $u \in \mathcal{D}_{\mathfrak{g}}$ .

By the previous lemma: all  $u \in \mathcal{D}_{\mathfrak{g}}$  act nilpotent.

By linearity  $\text{Tr}(tu) = 0$  for all  $u \in \mathcal{D}_{\mathfrak{g}}$ .

By the previous lemma: all  $u \in \mathcal{D}_{\mathfrak{g}}$  act nilpotent.

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Hence  $\mathcal{D}_{\mathfrak{g}}$  is a **nilpotent** Lie algebra. (Engel's thm)

This in turn implies that  $\mathfrak{g}$  is **solvable**.  $\square$